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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	P06475US0
First Named Inventor	SATOH, ET AL.
COMPLETE IF KNOWN	
Application Number	
Filing Date	
Art Unit	
Examiner Name	

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"SCROLL-TYPE FLUID MACHINE"

(Title of the Invention)

the specification of which

☒ Is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT InternationalApplication Number and was amended on (MM/DD/YYYY) (if applicable),

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
Patent Application No. 2001-284329	Japan	09/19/2001	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

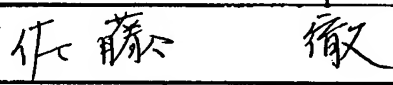
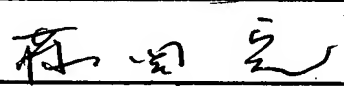
☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/>		Customer Number <input type="text"/> OR <input checked="" type="checkbox"/>		Correspondence address below	
Name ZARLEY LAW FIRM P.L.C.					
Address Capital Square, 400 Locust Street, Suite 200					
City Des Moines		State Iowa		ZIP 50309-2350	
Country US		Telephone 515-558-0200		Fax 515-558-7790	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name Tohru (first and middle [if any])		Family Name SATOH or Surname			
Inventor's Signature 		Date August 20, 2002			
Residence: City Yokohama-shi		State <input type="text"/>		Country Japan Citizenship Japan	
Mailing Address c/o Anest Iwata Corporation, 3176, Shinyoshida-cho, Kohoku-ku, Yokohama-shi, Kanagawa-ken, Japan					
City Yokohama-shi		State <input type="text"/>		ZIP <input type="text"/> Country Japan	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name Tamotsu (first and middle [if any])		Family Name FUJIOKA or Surname			
Inventor's Signature 		Date August 20, 2002			
Residence: City Yokohama-shi		State <input type="text"/>		Country Japan Citizenship Japan	
Mailing Address c/o Anest Iwata Corporation, 3176, Shinyoshida-cho, Kohoku-ku, Yokohama-shi, Kanagawa-ken, Japan					
City Yokohama-shi		State <input type="text"/>		ZIP <input type="text"/> Country Japan	
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

Please type a plus sign (+) inside this box → +

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0851-0035

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	
Filing Date	
First Named Inventor	SATOH, et al.
Title	Scroll-Type Fluid Machine
Group Art Unit	
Examiner Name	
Attorney Docket Number	P06475USO

I hereby appoint:

☐ Practitioners at Customer Number →

Place Customer
Number Bar Code
Label here

☒ Practitioner(s) named below:

Name	Registration Number
Donald H. Zarley	18,543
Timothy J. Zarley	45,253
James J. Lynch	50,153

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number →

Place Customer
Number Bar Code
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☒ Firm or
Individual Name

Zarley Law Firm, P.L.C.

Address

Capital Square

Address

400 Locust Street - Suite 200

City

Des Moines

State

IA

Zip

50309-2350

Country

U.S.A.

Telephone

515-558-0200

Fax


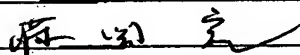
515-558-7790

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Tohru SATOH	Tamotsu FUJIOKA
Signature		
Date	August 20, 2002	August 20, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

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UNITED STATES
PATENT AND
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FEBRUARY 11, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
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ZARLEY LAW FIRM, P.L.C.
DONALD H. ZARLEY
CAPITAL SQUARE
400 LOCUST ST., SUITE 200
DES MOINES, IOWA 50309-2350



102264136A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/23/2002

REEL/FRAME: 013419/0025
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
SATO, TOHRU

DOC DATE: 08/20/2002

ASSIGNOR:
FUJIOKDA, TAMOTSU

DOC DATE: 08/20/2002

ASSIGNEE:
ANEST IWATA CORPORATION
3176, SHINYOSHIDA-CHO, KOHOKU-KU
YOKOHAMA-SHI, KANAGAWA-KEN, JAPAN

SERIAL NUMBER: 10241166
PATENT NUMBER:

FILING DATE: 09/11/2002
ISSUE DATE:

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

A S S I G N M E N T

In consideration of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to Anest Iwata Corporation, a Japanese corporation, having an address of 3176, Shinyoshida-cho, Kohoku-ku, Yokohama-shi, Kanagawa-ken, Japan, its successors and assigns the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, Serial No. 10/241,166 filed in the United States Patent and Trademark Office on the 11th day of September, 2002, entitled "SCROLL-TYPE FLUID MACHINE", and in said application any and all other applications for United States Letters Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent, applications, and in any reissue or extension thereof.

The undersigned hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to said Anest Iwata Corporation.

For said considerations the undersigned hereby agree, upon the request, and at the expense of said assignee, its successors and assigns, to execute any and all divisional

continuation and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and for the said considerations the undersigned further agree upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent of the United States and vest all rights therein hereby conveying in the said assignee, its successors and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

The attorneys of record are hereby authorized to insert the serial number and the filing date in the above blank spaces when received from the Patent Office.

佐藤 徹

By: Tohru SATOH

Dated: August 20, 2002

藤岡 元

By: Tamotsu FUJIOKA

Dated: August 20, 2002

10-29-2002



Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌

102264136

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

SATO, Tohru
FUJIOKDA, Tamotsu

10-23-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 8-20-2002 - both inventors

2. Name and address of receiving party(ies)

Name: Anest Iwata Corporation

Internal Address: _____

Street Address: 3176, Shinyoshida-cho,
Kohoku-ku, Yokohama-shi, Kanagawa-ken
JAPANAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/241,166

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald H. Zarley

Internal Address: Zarley Law Firm, P.L.C.

Street Address: Capital Square, 400 Locust St.
Suite 200

City: Des Moines State: IA Zip: 50309-2350

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

50-2098

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9. Signature.

10/28/2002 DBYRNE 00000067 10241166

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Ruth E. Fisher 40.00 DP
Name of Person Signing

Signature
October 17, 2002
Date

Total number of pages including cover sheet, attachments, and documents: 4

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